U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY DOCKET NUMBER 322-00066

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

<u> 10/019086</u>

INTERNATIONAL APPLICATION NO. PCT/AU00/00726	INTERNATIONAL FILING DATE 27 June 2000	PRIORITY DATE CLAIMED 28 June 1999					
TITLE OF INVENTION METHOD OF PRODUCING A DIFFRACTIVE STRUCTURE IN SECURITY DOCUMENTS							
APPLICANT(S) FOR DO/EO/US Paul ZIENTEK							
Applicant herewith submits to the United States Design	Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:						
1. This is a FIRST submission of items concern	1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.						
2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.							
3. This is an express request to begin national ex	3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated						
below.							
4. The US has been elected by the expiration of	19 months from the priority date (Article 31).						
5. 🗵 A copy of the International Application as f	filed (35 U.S.C. 371(c)(2))						
a. 🗵 is attached hereto (required only if no	ot communicated by the International Bureau).						
b. □ has been communicated by the Interr	national Bureau.						
c. ☐ is not required, as the application wa	c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).						
6.	6. A English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).						
a. is attached hereto.							
b. ☐ has been previously submitted under 35	5 U.S.C. 154(d)(4).						
7. Amendments to the claims of the International	Application under PCT Article 19 (35 U.S.C. 371(c)(3)))					
a. are attached hereto (required only if	not communicated by the International Bureau).						
b. ☐ have been communicated by the Inter	rnational Bureau.						
c. have not been made; however, the ti	me limit for making such amendments has NOT expired						
d. have not been made and will not be i	made.						
8. A English language translation of the amendm	nents to the claims under PCT Article 19 (35 U.S.C. 371	(c)(3)).					
9. An oath or declaration of the inventor(s) (35 t	U.S.C. 371(c)(4)).						
10. A English language translation of the annexes	s of the International Preliminary Examination Report un	der PCT Article 36 (35 U.S.C. 371(c)(5)).					
Items 11 to 20 below concern other document(s) or	r information included:	•					
11. An Information Disclosure Statement under 3	7 CFR 1.97 and 1.98.						
12. An assignment document for recording. A se	eparate cover sheet in compliance with 37 CFR 3.28 and	3.31 is included.					
13. 🗵 A FIRST preliminary amendment.							
14. □ A SECOND or SUBSEQUENT preliminary	amendment.						
15. A substitute specification.							
16. A change of power of attorney and/or address	s letter.						
17. A computer-readable form of the sequence lis	ting in accordance with PCT Rule 13ter.2 and 35 U.S.C	. 1.821-1.825.					
18. A second copy of the published international a	application under 35 U.S.C. 154(d)(4).						
19. A second copy of the English language transla	ation of the international application under 35 U.S.C. 15	4(d)(4).					
20. 🗵 Other items or information:	20. 🗵 Other items or information:						
☐ Applicant claims small entity status.							
■ Supplement to Transmittal Letter.							

U.S. AP	PLICATION NO. (if	known, see 37 CFR 1.5) / 119086			ATTORNEY'S DOCKET NUMBER 322-00066
21. The following fees are submitted:					CALCULATIONS PTO USE ONLY
Basic National Fee (37 CFR 1.492(a)(1)-(5)):					
nor int	ernational search fee (nary examination fee (37 CFR 1 37 CFR 1.445(a)(2)) paid to US ort not prepared by the EPO or	SPTO	\$ 1,040.0	00
	tional preliminary exa O but International Sea	00			
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 740.00					00
International preliminary examination fee (37 CFR 1.482).paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 710.00				00	
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims satisfied provisions of PCT Article 33(1)-(4)					00
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$1,040.00
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 C.F.R. 1.491(3)).				+ 130.00	
	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Cla	ims	45 - 20 =	25	x \$ 18.00	+ 450.00
Independ	ent Claims	3 - 3 =		x \$ 84.00	
MULTIP	LE DEPENDENT C	LAIM(S) (if applicable)		+ \$280.0	00 + 280.00
TOTAL OF ABOVE CALCULATIONS =					= \$1,900.00
□ App	licant claims small en	tity status. See 37 CFR 1.27. T	The fees indicated above are re	educed by 1/2.	-
			SUBTOTAL	=	\$1,900.00
		furnishing the English Translation of priority date (37 C.F.R. 1.49		□ 20 □30	+
			TOTAL NATIONAL	FEE =	\$1,900.00
		assignment (37 C.F.R. 1.21(h)) F.R. 3.28, 3.31). \$40.00 per p		companied by an	+
	_		TOTAL FEES ENCLO	OSED =	\$1,900.00
					Amount to be refunded:
					Charged:
a. ☑ A check in the amount of \$1,900.00 to cover the above fees is enclosed. b. □ Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. □ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.					
on this commissioner is nereby authorized to charge any additional rees which may be required, or credit any overpayment to Deposit Account No. on the Commissioner is nereby authorized to charge any additional rees which may be required, or credit any overpayment to Deposit Account No. on the Commissioner is nereby authorized to charge any additional rees which may be required, or credit any overpayment to Deposit Account No. on the Commissioner is nereby authorized to charge any additional rees which may be required, or credit any overpayment to Deposit Account No. on the Commissioner is nereby authorized to charge any additional rees which may be required, or credit any overpayment to Deposit Account No. on the Commissioner is nereby authorized to charge any additional rees which may be required, or credit any overpayment to Deposit Account No.					
included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND A	·	ES, STARKE & SAWALL, L	LP <u>Dai</u>	ul D. T.	Ittaly 12/19/01
	Milwaukee, Wiscon Phone: (414) 271-7:		Daniel D. F	Setterley	Dane 20,323
	Fax: (414) 271-577			Name	Reg. No.

531 Rec'd PCT/PTO 19 DEC 2001

U.S. APPLICATION NO. (if known, see 37 Cl

INTERNATIONAL APPLICATION NO. PCT/AU00/00726

ORNEY'S DOCKET NUMBER 322-00066

CERTIFICATE OF EXPRESS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as EXPRESS MAIL-POST OFFICE TO ADDRESSEE, in an envelope addressed to: BOX PCT, COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231 on the 19 Hz day of December, 2001. Express Mail Label EL812732778US.

Daniel D. Fetterley	20,323
Name	Reg. No.
Daniel D. Fetterley	12/19/01
Signature	Date

10/019086 531 Rec'd P 19 DEC 2001 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of)	Group Art Unit:			
PAUL ZIENTEK)	Examiner:			
Int'l. Appln. No. PCT/AU00/00726)	METHOD OF PRODUCING A DIFFRACTIVE STRUCTURE IN SECURITY			
Int'l. Filing Date: 27 June 2000)	DOCUMENTS			
SUPPLEMENT TO TRANSMITTAL LETTER					

Milwaukee, Wisconsin 53202 December 18, 2001

Box PCT Application Commissioner for Patents Washington, D.C. 20231

Sir:

Entry of this application into the National Phase in the United States is pursuant to 37 C.F.R. §1.494(c)/1.495(c). The papers include an English language specification and claims, and the drawing. The oath or declaration of the applicant is not included. The declaration will be forwarded promptly upon notification from the U.S. Patent and Trademark Office.

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP

Daniel D. Fetterley (Reg. No. 20,323)

100 East Wisconsin Avenue, Suite 1100

Milwaukee, Wisconsin 53202

(414) 271-7590

Atty. Docket No.: 322-00066 (C.12053-122)

CERTIFICATE OF EXPRESS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as EXPRESS MAIL - POST OFFICE TO ADDRESSEE, in an envelope addressed to: Box PCT Application, Commissioner for Patents, Washington, D.C. 20231 on the 1944 day of December, 2001. The Express Mail label number is EL812732778US.

Daniel D. Fetterley	20,323	
Name	Reg. No.	
Davil D. Fifterly	12/19/01	
Signature	Date	